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Attorney Docket No.  
D/99458

on 11/01/2000  
Date

Kathleen Schirtz  
Kathleen Schirtz

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12-28-00  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of James Shanahan et al

Group Art Unit: 2768

Application No.: 09/543,962

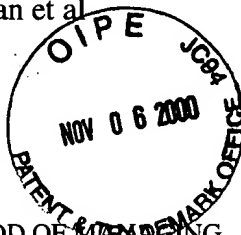
Examiner: Not Assigned

Filed: 04/07/2000

For: META-DOCUMENT AND METHOD OF MANAGING

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**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. 1.56 and 37 C.F.R. 1.97, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. One legible copy of each is <sup>not</sup> attached to the PTO-1449 Form. It is respectfully requested that said references and information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a continuing application, **OR** (b) before the mailing date of a first Office Action on the merits in the present application. *No certification or fee is required.*
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date **AND** after the date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$240.00 in payment of the fee under 37 C.F.R. 1.17(p). *(A copy of this paper is attached.)*
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance; **AND**
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); **(OR)**

Application No.

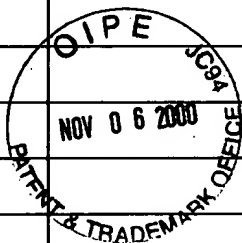
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c), more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
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- ☐ 5. Per 37 C.F.R. 1.98(c), a concise explanation of the relevance of each of any submitted item that is NOT in the English language is either enclosed herewith, or incorporated in the application specification.
- ☐ 6. An English language version of an above-referenced counterpart foreign application search report is attached.
- ☐ 7. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon under 35 U.S.C. §120. Thus, copies of these references are not attached. 37 C.F.R. §1.98(d).

  
Jeannette M. Walder  
Signature under 37 CFR 1.33 & 34  
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Telephone No. 310.333-3660  
Date 11/01/2000

Form PTO-1449	US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. D/99458	APPLICATION NO. 09/543,962
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT James Shanahan et al	
		FILING DATE 04/07/2000	GROUP ART UNIT 2768

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE	CLASS	SUB CLASS
<del>355</del>	09/404,174	Filed 9/24/99	Laurence Hubert et al		



## FOREIGN PATENT DOCUMENTS

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION YES

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## OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)

EXAMINER	DATE CONSIDERED
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	